

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet	1	of	2
-------	---	----	---

Complete if Known

Application Number	09/327,826
Filing Date	June 8, 1999
First Named Inventor	JIAN
Group Art Unit	2874
Examiner Name	Not Yet Known
Attorney Docket Number	2790-P001

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature	<i>Huan Ngon</i>	Date Considered	1/22/07
-----------------------	------------------	--------------------	---------

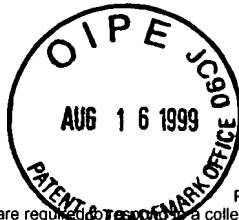
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**

TO 2800 MAIL ROOM

RECEIVED



Please type a plus sign (+) inside this box → ☐

PTO/SB/08B (10-96)
Approved for use through 10/31/99. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to furnish a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO			Complete if Known		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Application Number	09/327,826	
			Filing Date	June 8, 1999	
			First Named Inventor	JIAN	
			Group Art Unit	2874	
			Examiner Name	Not Yet Known	
Sheet	2	of	2	Attorney Docket Number	2790-P001

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
hn		Daschner, "Fabrication of Monolithic Diffractive Optical Elements by the Use of E-Beam Direct Write on an Analog Resist and a Single Chemically Assisted Ion-Beam-Etching Step", Applied Optics, Vol. 34, No. 14, May 10, 1995, pp. 2534-2539.	
hn		Iga, "Active Parallel Microoptics", SPIE, Vol. 1319 Optics in Complex Systems, 1990, pp. 486-490.	
hn		Iga et al., "Distributed-index Planar Microlens and Stacked Planar Optics: A Review of Progress", Applied Optics, Vol. 25, No. 19, October 1986, pp. 3388-3396.	
hn		Iga, "Chapter 10: Stacked Planar Optics", Fundamentals of Microoptics, Academic Press, 1984, pp. 195-207 and pp. 6-7.	
hn		Iga et al., "Stacked Planar Optics: An Application of the Planar Microlens", Applied Optics, Vol. 21, No. 19, October 1982, pp. 3456-3460.	
hn		Iga, "Two-dimensional Arrayed Microoptics", TUB2, Invited Paper, CLEO, 1989, pp. 44-45.	
hn		Oikawa et al., "Optical Tap Array Using Distributed-Index Planar Microlens", Electronics Letters, Vol. 18, No. 8, April 15, 1982, pp. 316-317.	

Examiner Signature	<i>Henry [Signature]</i>	Date Considered	1/22/01
-----------------------	--------------------------	--------------------	---------

¹EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

RECEIVED
AUG 19 1999
TC 2800 MAIL ROOM